



Sheet 1 of 3

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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 826.1940 | APPLICATION NO. 10/809,841 |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | FIRST NAMED INVENTOR Fumio FUTAMI et al. | |
| | | FILING DATE March 26, 2004 | GROUP ART UNIT 2828 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|----------|-------------------|-------|---------------|----------------|
| KAR | AA | 5,912,911 | 06/15/99 | Usami et al. | | | |
| KAR | AB | 2002/0041618 | 04/11/02 | Watanabe et al. | | | |
| KAR | AC | 6,081,355 | 06/27/00 | Sharma et al. | | | |
| KAR | AD | 5,923,683 | 07/13/99 | Morioka et al. | | | |
| KAR | AE | 5,471,490 | 11/28/95 | Liedenbaum et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | TRANSLATION YES NO | | ABSTRACT |
|-----|----|-----------------|----------|---------|-----------------------|---|----------|
| KAR | AF | 2001-264830 | 09/26/02 | Japan | | | X |
| KAR | AG | 2002-236301 | 08/23/02 | Japan | | | X |
| KAR | AH | 6-3711 | 01/14/94 | Japan | | | X |
| KAR | AI | 6-43514 | 02/18/94 | Japan | | | X |
| KAR | AJ | 9-326528 | 12/16/97 | Japan | | X | |
| KAR | AK | 9-318832 | 12/12/97 | Japan | | | X |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | TRANSLATION YES NO | |
|-----|----|---|-----------------------|--|
| KAR | AL | Hiroyuki TSUDA et al., "Second-and Third-Order Dispersion Compensator Using a High-Resolution Arrayed-Waveguide Grating", IEEE Photonics Technology Letter, vol. 11, No. 5, May 1999, pp. 569-571 | | |
| KAR | AM | A. M. WEINER et al., "Programmable Femtosecond Pulse Shaping by Use of a Multilement Liquid-Crystal Phase Modulator", Optics Letters, vol. 15, No. 6, March 15, 1990, pp. 326-328 | | |

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DATE CONSIDERED

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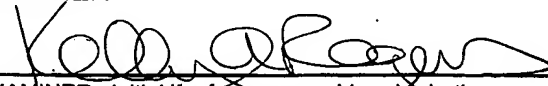
| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
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| | BA | | | | | | |
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| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES | NO |
|-----|----|-----------------|----------|---------|-------|---------------|--------------------|----|
| KAR | BC | 2003-29645 | 11/30/00 | Japan | | | Abstract | |
| KAR | BD | 10-223959 | 08/21/98 | Japan | | | Abstract | |
| KAR | BE | 5-257176 | 10/08/93 | Japan | | | Abstract | |
| KAR | BF | 6-291398 | 10/18/94 | Japan | | | Abstract | |
| KAR | BG | 9-236834 | 09/09/97 | Japan | | | Abstract | |
| KAR | BH | 2001-60734 | 03/06/01 | Japan | | | Abstract | |
| KAR | BI | 2001-249367 | 09/14/01 | Japan | | | Abstract | |
| KAR | BJ | 2002-250946 | 09/06/02 | Japan | | | Abstract | |
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| KAR | BM | Hiroaki SANJOH et al., "Multiwavelength Light Source with Precise Frequency Spacing Using a Mode-Locked Semiconductor Laser and an Arrayed Waveguide Grating Fiber", IEEE Photonics Technology Letters, Vol. 9, No. 6, June 1997, pp. 818-820 |
| | BO | |
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| | BQ | |

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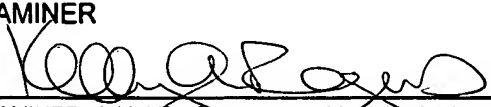
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| | CA | | | | | | |
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|---------------|----|-----------------|----------|---------|-------|---------------|--------------------|----|
| AK | CG | 8-122833 | 05/17/96 | Japan | | | Abstract | |
| AK | CH | 8-234250 | 09/13/96 | Japan | | | Abstract | |
| AK | CI | 8-234249 | 09/13/96 | Japan | | | | X |
| AK | CJ | 9-244076 | 09/19/97 | Japan | | | | X |
| AK | CK | 2002-77052 | 03/15/02 | Japan | | | | X |
| | CL | | | | | | | |

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| | CM | |
| | CN | |
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

826.1940

APPLICATION NO.

10/809,841

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(Use several sheets if necessary)

FIRST NAMED INVENTOR

Fumio FUTAMI et al.

FILING DATE

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|----------------------|----|-----------------|------------|-----------------|-------|---------------|----------------|
| KAR | AA | 2002/15212 | 02/07/2002 | Fujiwara et al. | | | |
| KAR | AB | 4,655,547 | 04/07/1987 | Heritage et al. | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

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| | | DOCUMENT NO. | DATE | COUNTRY | TRANSLATION YES NO | | ABSTRACT |
|-----|----|-----------------|------------|---------|-----------------------|--|----------|
| KAR | AG | 1 241 513 | 09/18/2002 | Europe | X | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

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TRANSLATION
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